## **ORIGINAL RESEARCH**

# An exploratory study of nurses' ideas on how to improve compliance with the use of personal protective equipment when caring for patients on additional precautions

Joanne Andrew, BA, RN, MSc, CIC<sup>1\*</sup> and Debbi Marais, PhD<sup>2</sup>

<sup>1</sup>Infection Prevention and Control, Island Health, BC, Canada <sup>2</sup> University of Warwick, Gibbet Hill, Coventry, United Kingdom

\*Corresponding author: Joanne Andrew Island Health, BC, Canada email: joanne.andrew@islandhealth.ca

#### ABSTRACT

Background: Healthcare-associated infections (HAI) present a significant risk to patients globally and they are listed as one of the most frequent adverse events in healthcare. The use of personal protective equipment (PPE) is one method of reducing transmission, yet despite the benefits of appropriate PPE being well documented, compliance by healthcare workers is poor. The aim of this study was to assess nurses' ideas to improve compliance with PPE when caring for patients on additional precautions.

Methods: The study took place at a 148-bed acute care hospital in British Columbia, Canada. A total of eight nurses, both licensed practical nurses (LPNs), and registered nurses (RNs) were selected from across the different wards based on their ability to provide the information required for the research study. Data was collected using qualitative semi-structured interviews to the point of data saturation, and consensus was obtained following the Delphi technique.

Results: Eight themes emerged from the coding: risk assessment, knowledge/education, time/staffing, visible leadership, COVID-19 pandemic, patients, ward culture and PPE audits. The results were separated into two groups, influences on compliance and ideas for improving compliance.

Conclusion: All eight themes contributed to the perception of risk which was identified as having the greatest influence on PPE compliance. These findings highlight the need for further research into the multifactorial approach to improving PPE compliance drawing from healthcare workers perspective.

#### **KEYWORDS**

PPE, compliance improvement, nurses, acute care

#### INTRODUCTION

Healthcare-associated infections (HAI) present a significant risk to patients globally, they are an important patient safety and quality issue due to the associated increased morbidity and mortality, and they are listed as one of the most frequent adverse events in healthcare. (Public Health Agency of Canada, 2022; World Health Organisation, 2022). The first global report on infection prevention and control (IPAC) released by the World Health Organisation (WHO) in May 2022 estimates that for every 100 patients admitted to the hospital in high-income countries, at least seven will acquire at least one HAI and this number is double for low- to middle-income countries (WHO, 2022). In 2013, the Public Health Agency of Canada (PHAC) reported more than 200,000 HAIs, resulting in more than 8,000 deaths in Canadian hospitals (Public Health Agency of Canada, 2013) and in 2017, it was estimated through a point prevalence study of participating hospitals in Canada, that 7.9% of in-patients had at least one HAI (Public Health Agency of Canada, 2020).

Surveillance for HAIs in Canada is managed by PHAC through the Canadian Nosocomial Infection Surveillance Program (CNISP). CNISP, a collaboration between PHAC, the Association of Medical Microbiology and Infectious Disease Canada, and participating Canadian Hospitals was established in 1994 with just 18 participating Canadian hospitals at the time (Public Health Agency of Canada, 2022). Although the number of participating hospitals has increased over the years to 90, this is a far cry from the 1,300 hospitals across Canada (Blair, 2023). Therefore, the true burden of HAIs in Canada could be significantly underrepresented. HAIs are estimated to have cost Canadian healthcare \$281 million from 2014-2015 (Canadian Patient Safety Institute, 2016). Yet, it is estimated that 55-70% of healthcare-associated infections can be prevented by increasing and improving a range of IPAC interventions (Bearman et al., 2021; WHO, 2022). While hand hygiene has been suggested as the most effective intervention for reducing HAI (IPAC Canada, N.D; Public Health Agency of Canada, 2021), other prevention methods should not be underestimated.

The use of personal protective equipment (PPE) is identified in both routine practice (RP) and additional precautions (AP) as a method of reducing the risk of transmission of potentially infectious microorganisms to healthcare workers (McCarthy et al., 2020; Reddy et al., 2019). When used appropriately, PPE can interrupt the mode of transmission by protecting the wearer and breaking the chain of infection. Despite the benefits of appropriate PPE use being well documented (Williams et al., 2019), low compliance with PPE use by healthcare workers remains a worldwide concern (Smith et al., 2021; Van Gulik et al., 2021; Haile et al., 2017). The aim of this study was to assess nurses' ideas to improve compliance with PPE when caring for patients on additional precautions.

#### **METHODS**

#### Research method

The study was conducted in a 148-bed community hospital on Vancouver Island, British Columbia, Canada to explore ideas from nurses who are working directly with patients on additional precautions, on how to improve PPE compliance. The Delphi Technique was used as qualitative research method of choice. This method was chosen due to its foundation in obtaining information and learning from highly experienced practitioners. Additionally, the Delphi technique supports a research process that not only identifies each of the contributing solutions, but helps to identify priority or importance.

#### Ethical approval

Before commencing the research project, ethical approval was sought through both University of Essex and the Health Authority Research Ethics Board (HREB). Participation in the study was voluntary, participants were provided with information regarding the study, including confidentiality and data management. Consent was sought and received from all participants, and no incentives were provided.

#### Sampling approach

Non-probability sampling methods were reviewed, and purposive sampling was selected as the sampling method as it is based on a phenomenon of interest. Participants were chosen based on their knowledge or experience in addition to their willingness to participate (Creswell & Plano Clark, 2011). Nurses, both LPNs, and RNs were selected from across the different wards based on their ability to provide the information required for the research study. A total of eight nursing staff were interviewed from across the different wards within the hospital. Interviews lasted between 30 to 45 minutes. Although some studies suggest a larger number of participants are required to achieve data saturation (Guest et al., 2006), there are other studies which argue that data saturation can in fact be achieved even with small sample sizes particularly with homogenous study populations (Hennick & Kaiser, 2022).

#### Recruitment

The intention of recruitment for this research study was to obtain representation from across the nursing profession and in-patient wards. The recruitment strategy included attending team meetings and report handover on the in-patient wards, and placing and emailing posters advertising the research opportunity. Interested applicants were requested to contact the researcher in person, by email, or by telephone expressing their interest.

All participants were screened to confirm inclusion and exclusion criteria. Inclusion criteria included the designations of nurses who provide care to patients on additional precautions in an acute care setting. Exclusion criteria included nurses who were not currently in an active role, e.g., on maternity leave, and those who had not been working in the role for at least the last six months.

#### Data collection and analysis

A focus group study was initially considered as an appropriate method of data collection for this particular research study. However, consideration was given to low staffing levels at the hospital during the pandemic, and the inability of leadership to release a number of staff at the same time to attend focus group sessions. After discussion with ward managers, interviews were chosen as a suitable alternative. The interviews were conducted face-to-face, following a semi-structured approach, and were recorded. Each participant was asked to provide their thoughts and opinions on the influences of education, ward culture, leadership, ergonomics, and patient involvement on staff compliance with PPE use. These factors were identified in the literature search as having a potential influence on PPE use. Participants were subsequently encouraged to share additional thoughts, ideas, and strategies to improve PPE compliance. Interviews were completed to the point of data saturation. Reflexive Thematic Analysis was used to code and theme the data (Braun & Clarkes, 2006).

Two rounds of coding were completed, and the following eight themes were identified as shown in Figure 1. Once the themes were identified, a series of statements were created and emailed to the participants to obtain feedback and consensus. The statements consisted of the following:

 Risk assessment forms the basis of my decision to wear or not to wear PPE when providing care to a patient on additional precautions. Four main factors contribute to my point-of-care risk assessment these include: Task to be completed (contact or no contact with the patient), level of fear of transmission (to myself, my family and my patients), knowledge of my patient (symptoms, compliance), and time (staffing levels/workload).



## FIGURE 1: Eight Themes identified from the coding

- Education is the most important influence on PPE use as it provides the knowledge that underpins good risk assessment. It can be difficult to receive education but the best way to provide it is in short one-on-one sessions, in-the-moment opportunities, or by email.
- The infection control practitioner (ICP), Clinical Nurse Leader (CNL), and Clinical Nurse Educator (CNE) should all support infection prevention and control education and information by being able to answer questions, support staff decisionmaking, and support good practice.
- Regular PPE audits are a good way to help improve compliance, they serve as a reminder for good practice, and I would want my unit to do well.
- Providing competent patients with education about additional precautions, including the requirements for themselves and staff, would have a positive impact on staff PPE use.

#### **RESULTS AND DISCUSSION**

Five of the eight participants responded and other than minor changes, there was consensus on all the statements. No further follow up was required.

#### BARRIERS AND FACILITATORS TO COMPLIANCE

Barriers to healthcare worker compliance with PPE use have been well studied and include issues such as ill-fitting or lack of access to PPE, poor understanding of current policies relating to PPE use, risk perception, high workload, and workplace culture (Brooks et al., 2021; Houghton et al., 2020).

While there are many studies identifying barriers to compliance, there are limited studies that focus on healthcare workers' perspective on ways to improve compliance with PPE use (Efata et al., 2021). Additionally, other articles focus mainly on improving adherence to RP, of which PPE is only a part, and the more recent articles refer to PPE use specifically concerning the COVID-19 pandemic where it could be assumed that PPE compliance is improved (Beckman et al., 2013; Tawfiq & Auwaerter, 2019).

#### 1. EXPLORING CURRENT INFLUENCES ON PPE COMPLIANCE

Table 1 provides a sample of quotes from participants to illustrate how the themes address the objective to explore the thoughts and opinions of nurses on the influences that impact compliance with PPE use.

#### 1.1. Risk perception

The most significant influence on PPE compliance is unequivocally nurses' perception of risk, with fear of transmission being the main driver for PPE use, this is reflective of findings from both Haile et al. (2017) and Harrod et al. (2020). When performing their own risk assessment, the mode of transmission associated with the type of additional precautions influenced compliance and it was noted that staff were more likely to wear the appropriate PPE when a patient was on droplet and contact precautions as opposed to just contact precautions. Staff do not only assess the transmission method; they also assess the type of interaction that is to take place within the patient space. Participants identified that they would more likely use appropriate PPE when interacting directly with the patient, as opposed to just the patient environment (Table 1).

#### 1.2. Risk assessment

Participants identified that when working with patients on additional precautions, they modify their PPE use under certain conditions. Although there is a standardized risk assessment tool based on Canada-wide guidance (IPAC Canada, 2022), staff adapt this risk assessment to include additional factors based on their knowledge of the disease, the patient's current symptoms, and type of contact required with the patient and their environment. Furthermore, in addition to modifying the risk assessment tool, other factors contributed significantly to the staff's ability to perform an informed

TABLE 1: Sample of quotes illustrating the current influences on compliance				
Current Impacts	Participants	Quote		
Fear of transmission	2	"Having PPE on to protect myself and to protect the patient but mostly myself, I know that may sound selfish, but I think about me, and I want to stay healthy. I don't want to take anything home to my family."		
	8	"I think for me having immunocompromised family members and not wanting to take infections home and not wanting to get myself sick. I am personally invested in keeping myself safe, as well as my patients."		
Additional precautions	4	"If someone's on droplet precautions for pneumonia, I'm going to fully gown up to go in and give them their pills but if they're on contact for MRSA, I won't probably gown up."		
	8	"I'm a lot more diligent when it comes to people on droplet precautions."		
Education	3	"I feel like education is a bit lacking. I personally have a hard time following or taking something seriously if I don't really understand the reasoning behind."		
	5	"I think it's [education] very important, especially when you're just starting as a new nurse."		
	2	"I think education is really big, it just kind of brings it back. We all know this stuff, but it's like you need a refresher now and then."		
Impact of lack of 2 education and knowledge on risk assessment		"Like we probably know people in our personal lives that have MRSA, and we don't glove and gown when we see them."		
	3	"I mean, personally, I don't have enough information on MRSA."		
	5	"It's not like she can cough on me and give me MRSA."		
Time and staffing	8	"Education is hugely important. I just think there isn't enough time."		
	8	"I think all of us know that we're cutting corners every once in a while, and it doesn't make us feel good. But it's a balancing act of priorities too in a way when you're that short staffed to have to get your gown on put your gloves on, change your mask after you've washed your hands go into drop off a tray, doff all of your gear, wash your hands, change your mask, out on a new one and everything else, it adds to that timeframe."		

Current Impacts	Participants	Quote		
	1	"I feel like nurses these days aren't critically thinking the way they once were due to time constraints."		
	4	"I think it's like the pressure in our work environment, has a huge impact on it [education] because we're on such a strict timeline. We are always so short staffed."		
COVID-19 pandemic	1	"The Pandemic [COVID-19] has really taught and highlighted to us as nurses the importance of PPE and how it can protect us and our patients."		
	2	"I think PPE compliance has improved because people were getting really sick, and PPE was the one thing that was protecting us from that."		
Reduction in PPE compliance despite still being in the pandemic	1	"I don't think we can keep the risk level at what it was during the pandemic because for nurses to function at that level of stress would be bad, so I think we will see some regression."		
	7	"We aren't scared of COVID-19 anymore, we have all had it."		
	6	"I know many of us are like 'Well the vaccines didn't work, we've already had it and we aren't really terrified of it anymore', we don't want to pass it to others, but it's definitely become more lax."		
	2	"I think its important personally to wear PPE but I think a lot of people [nurses] are thinking 'Oh this is just going back to normal so I don't need to be as careful because it isn't as bad as it was."		
Ward culture	1	"The culture on the unit towards correctly utilising PPE is huge. If there's a unit with negative connotations for example, 'oh my god, this takes too long', or 'these moments take too long', or that sort of thing. You don't feel supported that they've got the time to be able to do these thingsIf that culture is in place, I think it has a negative impact."		
	2	"I think that if a whole bunch of people aren't doing it, then other people just won't do it. My unit is really good though we all wear PPE but we are more old school nurses and we tend to follow the rules a little more."		
	8	"I'm aware of the different cultures on different unitsI don't tend to get involved in whatever the unit culture is, I kind of just do my own thing."		
	5	"I don't think there's really an attitude towards PPE, we all know the risk of not wearing it and the value if we do, so I don't think there is a negative or positive."		

and accurate risk assessment. These included education, culture, and time/staffing. It was evident from the interviews, that participants do not always have sufficient or accurate knowledge to make fully informed risk assessments, as such may be unintentionally putting themselves and their patients at increased risk of transmission.

1.2.1. Direct influences on risk assessment – time and staffing Participants acknowledged that IPAC education was important, but also recognised that receiving education was significantly hindered by lack of time which is directly related to low staffing levels and high hospital census rates. Participants identified that constant improvements in technology, equipment, and computerised systems meant that the need for education was continuous despite the lack of dedicated time, leadership support, and lack of relief cover to complete it. These findings are consistent with other studies such as Lalonde et al. (2013) Shadadi et al. (2018) and Coventry et al. (2015).

## 1.2.2. Indirect influence on risk assessment – culture and environment

Ward culture, defined for the purpose of this study as the overall attitude of nurses and leadership on the ward towards the importance of compliance with PPE, was not identified as having a significant impact on nurses' choice to use PPE. Participants recognised that while culture can influence choice, there are additional factors such as risk assessment which have a greater influence on their decision to use or not to use PPE (Table 1). Additionally, participants were asked about environmental impact, such as ergonomics, and how that may influence PPE compliance. Participants did not identify environmental design as having a noteworthy impact on PPE compliance.

#### 1.3. COVID-19 pandemic

The COVID-19 pandemic raised awareness of the importance of PPE in protecting staff and their patients against transmission. Staff intimated that the increased and dedicated education they received during the pandemic had raised awareness of the importance of PPE use, and influenced an improvement in compliance. However, it was pointed out during the interviews that recent site audits have identified a reduction in PPE compliance despite still being in a pandemic. Overall, despite the additional education and raised awareness of the importance of PPE use, staff still rely on their own risk assessment to direct their PPE use. Staff are now significantly less concerned about COVID-19 than they were at the beginning of the pandemic. This has resulted in a lower risk perception and subsequent reduction in PPE compliance. With that being said, there are still positive and possibly permanent changes associated with the pandemic, however, it is unclear if the improvement in practice is based on just one factor, such as increased risk, or multiple factors combined, including risk, knowledge increase, improved education and culture change (Table 1).

#### 2. EXPLORING WAYS TO IMPROVE COMPLIANCE

Table 2 provides a sample of quotes from participants to illustrate how the themes address ideas to improve compliance.

#### 2.1. Education

Education was identified as a key contributor to compliance as IPAC knowledge informs how staff perform their risk assessments. However, it was also recognised that staffing levels and hospital census significantly impact staff's ability to access education when offered using traditional methods such as classroom sessions. Participants identified that due to operational limitations, examples of the best ways to receive education include short sessions held directly on the unit, one-on-one or in-the-moment quick sessions, email education, or newsletters. Staff also felt that education that focused on disease and transmission would support an informed risk assessment (Table 2).

#### 2.2. Patients

There is a plethora of information that identifies that partnering with patients improves health outcomes and patient satisfaction (Krist et al., 2017). In the current study, some participants identified that staff will sometimes avoid having conversations about additional precautions with patients due to their lack of knowledge or confidence in doing so. The concept of patient education regarding additional precautions, including the expectation of PPE use by staff, was presented to participants as a conceivable way to increase compliance based on studies by Alzyood et al. (2018) and Butenko et al. (2017). Intriguingly, participants were generally positive about the inclusion of patients and felt that it would most likely improve healthcare workers compliance with PPE use. Interestingly, none had particularly thought about involving patients in this way previously, or the impact this may have on staff PPE compliance (Table 2).

#### 2.3. Leadership

Participants discussed that they find the support of their clinical nurse leaders helpful and identified that increased knowledge of IPAC practices, and reminders to staff about best practice undoubtedly have a positive impact on compliance. However, they also sympathized with their CNLs and recognized how busy they were already, running the unit and managing dayto-day issues. ICPs were highlighted as a strong resource for improving compliance, however, it was also acknowledged that their in-person time on the unit is limited and as such, their ability to provide comprehensive support was restricted. On the other hand, senior site leadership such as managers and the site director were not currently associated with having any impact on PPE compliance. In addition to improving PPE compliance directly, there was also discussion about the impact leadership roles have on ward culture and the subsequent potential for indirect positive influence on PPE compliance. Positive role modelling and organizational culture have been identified in multiple studies as having a positive influence on IPAC practices.

TABLE 2: Quotes illustrating participants ideas for improvement				
Impact	Participants	Quote		
Education	7	"I think education to improve compliance would be like educating on what the risks are if you are non-compliant with PPE."		
	6	"I feel that if I had more information on maybe how many people died from MRSA infections, or the likelihood of it spreading, I would gown up more."		
Education delivery methods	6	"Come [ICP] to the floor [ward] and talk to us more". "Give us more information on what we are protecting ourselves against."		
	5	"It was so nice to see the ICP [name withheld] came out and actually said to us this is what your using it [PPE] for and explaining it that way."		
	1	"In-the-moment would be my favourite teaching method."		
Implementation of PPE audits	6	"When they send out compliance rates for hand hygiene, I really pay attention to that, and I do try to take into account to do better if we have done poorly."		
	7	"I think if there were more regular audits like the ones we do for hand hygiene, but not casual audits, more in-depth audits, on PPE I think that would spark people in the right direction to be wearing it [PPE] more often and utilising it more."		
	5	"For my own growth, I do like it when there are audits because I have to remember how to put everything on properly."		
Leadership role	4	"I think it would be a really good leadership role for them to get out there and make sure things are being done right, they should be involved. I've never seen that happen, but it would be interesting to see, and overall, I think it would make a huge difference in their leadership and our relationship with them."		
	1	"Leadership would be more aware of situations happening on their units with increased IPAC issues, and they may be more likely to attempt to have increased workload [additional staff] because they appreciate the amount of time it takes the nursing staff to manage patients on additional precautions."		
	7	"I think if leadership drilled it into everyone's heads in the mornings in report 'make sure this person is on precautions, make sure you're wearing gown and gloves, etc for all patients contact'[this would improve compliance]."		

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Impact	Participants	Quote
Leadership how and who	8	"I love having the ICP come round, and I do enjoy seeing ICP [site ICP name withheld] on the floor [ward], she is going through all the patients [on additional precautions] and she is there for titbits."
	3	"Our CNL, if there is an infection control concern, will interrupt our morning reports to have a little huddle. She did that recently in relation to C-Diff and she reminded us all about hand hygiene. I like the reminder but not the micromanaging me when I'm doing my job. I think the way she does it is appropriate."
	5	"The role I see for leaders is the influence they can have on our team to continue with education pieces, update us on any changes, and on how we are doing with our hand hygiene audits."
	2	"Our CNL make sure we have PPE where it needs to beshe sends out newsletters with little reminders and sometimes she will mention things [about infection control]."
Patient role	1	"There is a great role for patients around this [additional precautions] and patient education is something that has been greatly lacking."
	5	"Sometimes I feel embarrassed [for the patient] to tell them why they are on precautions. A lot of patients don't know why they are on precautions because we don't tell them."
Providing patients with information on the expectations of additional precautions	7	"I think it would improve compliance because the patient would then be aware of what the nurse is supposed to look like when they come into the room. So, I think that obviously you want to make a good impression on your patient, and you want to make sure they think you know what you are doing, because obviously you don't want to look like you are missing things or not doing what's appropriate to care for them and protect them and yourself and others in the Hospital. So, I do think this would improve compliance."
	3	"I think it would give us some accountability."
	2	"I think it would influence some [nurses], some I don't think would care, they would just brush it off."
	3	"It would be amazing to have little pamphlets that I could provide, it would save me from having a whole long conversation and also puts some of the responsibility back on them [the patient]."
Patients questioning staff compliance	2	"If they [patients] are educated and they know that we should be wearing PPE there's nothing wrong with questioning why we aren't wearing it."
	5	"I would hope the patient would speak up. If that happened to me, because sometimes you don't even realise, you don't look at the signage on the door, but if someone was to tell me that I slipped up I think I would be 'oh thank you so much, I really appreciate that."

The following algorithm represents the current impacts on the existing risk assessment, and outlines suggestions for improvements.

Decision making process for use of PPE by healthcare workers.

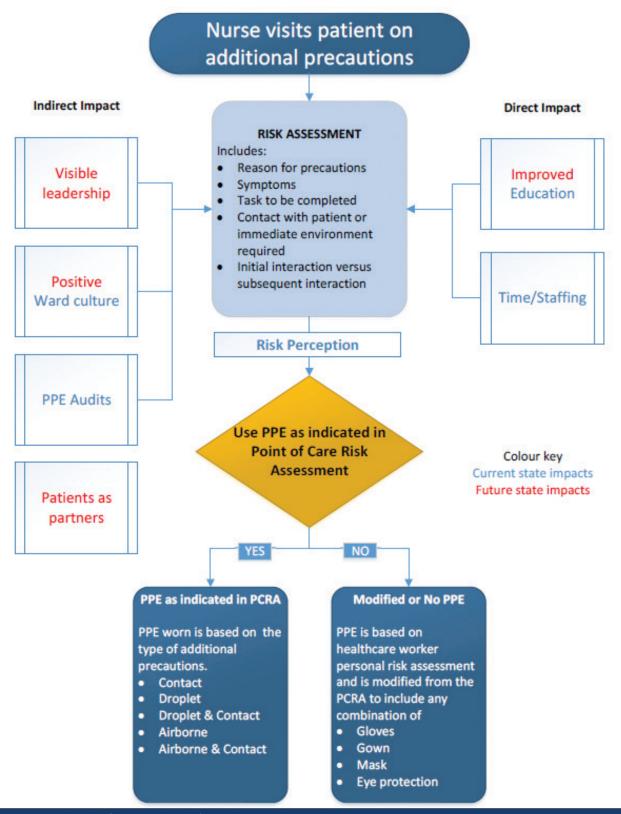


FIGURE 2: Combined influence and multifactorial approach to risk assessment

(Gilbert et al., 2019; Barratt et al., 2020; Peponis et al., 2017). Haile et al. (2017) noted that compliance with IPAC practices was improved by up to 2.23 times when healthcare staff had strong management support for safe environments and infection prevention and control (Table 2).

### 2.4. Audits

IPAC programs have continuously focused on implementing interventions designed to improve compliance such as education and audits (Hon et al., 2008; Larson et al., 2013). Participants identified that they found the current hand hygiene audits helpful and identified that they have a positive effect on compliance as they act as a continuous reminder of good practice. It was, therefore, felt that introducing PPE audits on the units would provide additional opportunities for in the moment teaching (Table 2).

#### LIMITATIONS

This study was carried out at only one acute care hospital out of 11 within the health authority. Although the hospitals differ in size, the challenges around staffing, time, and census are similar across the region. There are some differences in the physical environment due to newer buildings offering private patient rooms and more space. However, participants did not identify environment as having an impact on PPE compliance.

Staffing issues at the site presented significant difficulties in enrolling participants in the research study. Many staff were interested and keen to take part but opted out based on their increased workload and the inability of colleagues to cover them while they attended the interview.

As a result, only eight participants were interviewed. Despite the small sample size, it was evident early in the interviews that information saturation would be reached even with a lower number of participants. Additionally, during the follow-up, as part of the Delphi process, a consensus was reached with the first round of follow-up questions and although there were only five respondents, comments were based on minor word changes only, and as such, it can be assumed that there would have been no significant changes had more participants responded.

#### CONCLUSION AND RECOMMENDATIONS

There is no doubt that the appropriate use of PPE serves to protect both patients and staff from the transmission of infectious pathogens. Improving staff compliance with PPE use is an ongoing challenge for which there appears to be no single answer or intervention. Rather, a combination of factors contributes to risk assessment and decision-making. Staff use their own risk assessment in conjunction with existing approved risk assessments and recommendations, to decide how and when to use PPE. However, their personal risk assessment may be flawed due to it being based on incomplete or inaccurate information, and staff may be inadvertently putting themselves or their patients at increased risk of transmission. This study provides key considerations for improving compliance, a package or bundle of quality improvements is recommended:

- Improved education opportunities supported by innovative ways to deliver short education sessions designed to meet the time and staffing challenges of today's nurses.
- Education that focuses on disease and modes of transmission in addition to point of care risk assessment and PPE use so that nurses have the right information when assessing risk.
- Promotion of visible leadership provided by a multidisciplinary leadership team aimed at championing IPAC best practices through role modelling and promoting positive culture change.
- Engaging patients as partners when they are on additional precautions by providing them with educational material designed to promote health literacy and improve staff compliance.
- Implementation of regular PPE audits to support change. Audits should include in-the-moment feedback and opportunities for teaching, results should be shared and supported by incentives where possible.

It is recognised that while this is a small study, the results highlight the need for further research to better understand the complexity of a multi-interventional approach to improving nurses compliance to PPE use when caring for patients on additional precautions.

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